PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TRANSMITTAL |
|-------------|
| FORM        |

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5

| Application Number   | 10/786,434              |  |
|----------------------|-------------------------|--|
| Filing Date          | February 25, 2004       |  |
| First Named Inventor | Masakazu Adachi, et al. |  |
| Art Unit             |                         |  |
| Examiner Name        |                         |  |
| Attorney Docket No.  | MTS-3507US              |  |

| / Million Decirities   Million |          |   |       |  |  |  |  |
|--|----------|---|-------|--|--|--|--|
| ENCLOSURES (Check all that apply)  |          |   |       |  |  |  |  |
| Fee Transmittal Form Fee Attached  |          | ring(s)   |       | After Allowance Communication to Group   |  |  |  |
| Amendment/Reply After Final Affidavits/Declaration(s)  |          | on on to Convert to a sional Application                    |       | Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply |  |  |  |
| Extension of Time Request  |          | er of Attorney, Revocation,<br>age of Correspondence<br>ess |       | Brief) Proprietary Information   |  |  |  |
| Express Abandonment Request  | Term     | inal Disclaimer   |       | Status Letter Other Enclosure(s) (please   |  |  |  |
| Information Disclosure Statement   | Requ     | est for Refund  |       | identify below):   |  |  |  |
| Certified Copy of Priority Document(s)   | CD,      | Number of CD(s)   |       | -Form 1449<br>rn Postcard  |  |  |  |
| Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53  | Remarks: |   |       |  |  |  |  |
| SIGNATURI  | OF APPLI | CANT, ATTORNEY OR AC  | SENT  |  |  |  |  |
| Firm or Individual Allan Ratner Name   | 7        | Registration No. (Attorney/A                                | gent) | 19,717   |  |  |  |
| Signature  Date June 21, 2004  |          |   |       |  |  |  |  |
| CERTIFICATE OF TRANSMISSION / MAILING  |          |   |       |  |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:   |          |   |       |  |  |  |  |
| Typed or printed name Allan Ratner   |          |   |       |  |  |  |  |
| Signature / / // //  |          |   | Date  | June 21, 2004  |  |  |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including g athering, preparing, and submitting the completed a pplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/786,434

Applicant: Filed:

Masakazu Adachi et al. February 25, 2004

Title:

ANTENNA SWITCH MODULE, ALL-IN-ONE COMMUNICATION

MODULE, COMMUNICATION APPARATUS AND METHOD FOR

MANUFACTURING ANTENNA SWITCH MODULE

TC/A.U.:

To Be Assigned To Be Assigned

Examiner: Confirmation No.:

To Be Assigned

Docket No.:

MTS-3507US

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) JP 2001-352271
- 2) JP 07-202504
- 3) JP 10-276003
- 4) JP 07-202502

References 1) - 4) include an English language abstract.

MTS-3507US **PATENT** 

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Allan Rather, Reg. No. 19,717 Attorney for Applicants

AR/ds

Enclosures: PTO Form 1449

(5) References Transmittal Form

Dated: June 21, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA

22313-1450 อุก

June 21, 2004

DAS\_I:\MTS\3507US\IDS\_02.DOC

PTO/SB/08a (08-03) (AW 10/2003) Approved for use through 7/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Substitute TOA DENTA 1449A/PTO  | Complete if Known    |                         |  |  |
|---|----------------------|-------------------------|--|--|
|   | Application Number   | 10/786,434              |  |  |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use as many sheets as necessary) | Filing Date          | February 25, 2004       |  |  |
|   | First Named Inventor | Masakazu Adachi, et al. |  |  |
|   | Art Unit             |                         |  |  |
|   | Examiner Name        |                         |  |  |
| SHEET 1 of 2  | Attorney Docket No.  | MTS-3507US              |  |  |

|  |              | SHEET 1   |               |                                  | y Docket No.             | MTS-350   | 7US                           |   |    |    |
|--|--------------|---|---------------|----------------------------------|--------------------------|---|-------------------------------|---|----|----|
|  |              |   | U.S. PATE     | NT D                             | OCUMENTS                 |   |                               |   |    |    |
| Examiner                               | Cite<br>No.1 | Document Number   | Publication D |                                  |                          | of Patentee or  |                               | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |    |    |
| Initials*                              |              | Number - Kind Code <sup>2 (if known)</sup>  | (MM-DD-YYY    |                                  | YY) Applicant of C       |   | Document                      |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   | _             |                                  |                          |   |                               |   |    |    |
|  |              | US-   | -             |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | US-   |               |                                  |                          |   |                               |   |    |    |
|  |              | F   | OREIGN PA     | TEN.                             | T DOCUMEN                | TS  |                               |   |    |    |
|  |              | Foreign Patent Document   |               | Publication Date<br>(MM-DD-YYYY) |                          | Name of Patentee or<br>Applicant of Cited<br>Document |                               | Pages, Columns,<br>Lines, Where Relevant  |    |    |
| Examiner<br>Initials*                  | Cite<br>No.1 | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known) |               |                                  |                          |   |                               | Passages or Relevant<br>Figures Appear  | тe |    |
|  |              | JP 2001-352271  |               | 12/21/2001                       |                          | Hitachi Metals Ltd                                    |                               |   |    |    |
| <del>- ·</del>                         |              | JP 07-202504  |               |                                  | 08/04/1995               |   | Murata Mfg Co Ltd             |   |    | ╽  |
|  | · .          | JP 10-276003  |               |                                  | <del></del>              |   | ni Metals Ltd                 |   | ╽Ӛ |    |
| ······································ |              | JP 07-202502  |               |                                  | 08/04/1995<br>07/17/2002 |   | Murata Mfg Co Ltd  Matsushita |   |    | 15 |
|  |              | EP 1 223 634 A2   |               |                                  |                          |   |                               |   |    | 十百 |
|  |              |   |               |                                  |                          |   | ic Ind Co Ltd                 |   | _  |    |
|  |              |   |               |                                  |                          |   |                               |   |    |    |
| Examiner<br>Signature                  |              |   |               |                                  |                          |   | Date<br>Considered            |   |    |    |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.
<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

|   | _ |   | wi. |
|---|---|---|-----|
| • |   | • |     |

| Substitute for Form 1449B/PTO   | Complete if Known    |                         |   |  |  |
|---|----------------------|-------------------------|---|--|--|
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Use as many sheets as necessary) | Application Number   | 10/786,434              |   |  |  |
|   | Filing Date          | February 25, 2004       |   |  |  |
|   | First Named Inventor | Masakazu Adachi, et al. |   |  |  |
|   | Art Unit             |                         |   |  |  |
|   | Examiner Name        |                         |   |  |  |
| SHEET 2 of 2  | Attorney Docket No.  | MTS-3507US              | , |  |  |

| NON-PATENT LITERATURE DOCUMENTS |              |  |    |  |  |
|---------------------------------|--------------|--|----|--|--|
| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T² |  |  |
| , =                             |              | European Search Report corresponding to application no. EP 04 00 4399 dated June 2, 2004   |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 | :            |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
|                                 |              |  |    |  |  |
| Examiner<br>Signature           |              | Date<br>Considered   |    |  |  |

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

"Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).